



**Kozza & Company**  
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 www.kozza.com

LEGAL NAME \_\_\_\_\_  
 OF BUSINESS: \_\_\_\_\_  
 TRADE OR \_\_\_\_\_  
 DBA NAMES: \_\_\_\_\_  
 OWNER NAME: \_\_\_\_\_  
 BUSINESS PHONE: \_\_\_\_\_  
 COMPANY ADDRESS: \_\_\_\_\_ CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_  
 E-MAIL: \_\_\_\_\_  
 FAX: \_\_\_\_\_  
 WEBSITE: \_\_\_\_\_  
 TAX ID NUMBER: \_\_\_\_\_

**IS THIS COMPANY COMPLIANT WITH THE ANTI-MONEY LAUNDERING  
 PURSUANT TO THE UNITED STATES PATRIOT ACT?**

- YES, We are in compliance with the PATRIOT ACT as it concerns our industry.  
 {We Have Implemented an Anti-Money Laundering Program}.*
- NO, We are not in compliance with the PATRIOT ACT as it concerns our industry.  
 {We Have not Implemented an Anti-Money Laundering Program}.*
- We are EXEMPT from the PATRIOT ACT as it concerns our industry.  
 {We do not deal more than \$50,000 worth of goods, jewels, precious metals or stones etc. Annually}.*



*By signing below I certify that all the information listed above is true and that I will take all steps needed to prove its validity if needed.*

PRINT NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_  
 SIGNED BY: \_\_\_\_\_ DATE: \_\_\_\_\_

*Each party agrees that any electronic signatures of the parties included in this agreement are intended to authenticate this writing and have the same force and effect as manual signatures. Electronic signature means any electronic sound, symbol or process attached to or logically associated with a record and executed and adopted by the party with the intent to sign such record including facsimile or e-mail electronic signatures.*

*Patriot Act Compliance Form*